

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

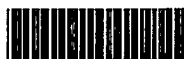
Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Method and Apparatus for Capturing and Rendering Text
Annotations for Non-Modifiable Electronic Content

Application Number : 09/455807



Confirmation Number: 5593

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84618

Art Unit: 2174

Examiner: Steven Sax

Search string: (6320577 or 6573907 or 6687878 or 6871318 or 5390138 or 6636238 or 6055538
or 5801685 or 5644674 or 6144375 or 6418421 or 20020194260).pn

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	6320577	2001-11-20	Alexander			
<input checked="" type="checkbox"/>	2	6573907	2003-06-03	Madrane			
<input checked="" type="checkbox"/>	3	6687878	2004-02-03	Eintracht et al.			
<input checked="" type="checkbox"/>	4	6871318	2005-03-22	Wynblatt et al.			
<input checked="" type="checkbox"/>	5	5390138	1995-02-14	Milne et al.			
<input checked="" type="checkbox"/>	6	6636238	2003-10-21	Amir et al.			
<input checked="" type="checkbox"/>	7	6055538	2000-04-25	Kessenich et al.			
<input checked="" type="checkbox"/>	8	5801685	1998-09-01	Miller et al.			
<input checked="" type="checkbox"/>	9	5644674	1997-07-01	Aihara et al.			
<input checked="" type="checkbox"/>	10	6144375	2000-11-07	Jain et al.			
<input checked="" type="checkbox"/>	11	6418421	2002-07-09	Hurtado et al.			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
<i>h</i>	1	20020194260	2002-12-19	Headley et al.			

Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document(s) should it be applied as a reference(s) against the claims of the present application. It is respectfully requested that the Examiner fully consider the document(s), initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document(s) has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference(s). The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>[Signature]</i>	7/05

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

**Method and Apparatus for Capturing and Rendering Text Annotations
for Non-Modifiable Electronic Content**

Application Number: 09/455807

09/455807

Confirmation Number: 5593

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84618

Art Unit: 2174

Examiner: Steven Sax

Search string: (6320577 or 6573907 or 6687878 or 6871318 or 5390138 or 6636238 or
6055538 or 5801685 or 5644674 or 6144375 or 6418421 or
20020194260).pn.

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US Patent Documents


Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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	1	6320577	2001-11-20	Alexander			
	2	6573907	2003-06-03	Madrane			
	3	6687878	2004-02-03	Eintracht et al.			
	4	6871318	2005-03-22	Wynblatt et al.			
	5	5390138	1995-02-14	Milne et al.			
	6	6636238	2003-10-21	Amir et al.			
	7	6055538	2000-04-25	Kessenich et al.			
	8	5801685	1998-09-01	Miller et al.			
	9	5644674	1997-07-01	Aihara et al.			
	10	6144375	2000-11-07	Jain et al.			

11	6418421	2002-07-09	Hurtado et al.
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US Published Applications

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init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020194260	2002-12-19	Headley et al.			

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Signature

Examiner Name	Date
	7/05

JUN 29 2005

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Worksheet Version v18.0

Title of
InventionMethod and Apparatus for Capturing and Rendering Text
Annotations for Non-Modifiable Electronic Content

Application Number: 09/455807

09/455807

Confirmation Number: 5593

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84618

Art Unit: 2174

Examiner: Steven Sax

Search string: (5630125 or 5689717 or 5838313 or 5862395 or 5933139 or
6133925 or 6184886 or 6211871 or 6425525 or 6484156 or
6529920 or 6571211 or 6760884 or 20010031128).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	5630125	1997-05-13	Zellweger			
<input checked="" type="checkbox"/>	2	5689717	1997-11-18	Pritt			
<input checked="" type="checkbox"/>	3	5838313	1998-11-17	Hou et al.			
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<input checked="" type="checkbox"/>	8	6211871	2001-04-03	Himmel et al.
<input type="checkbox"/>	9	6425525	2002-07-30	Swaminathan et al.
<input type="checkbox"/>	10	6484156	2002-11-19	Gupta et al.
<input type="checkbox"/>	11	6529920	2003-03-04	Arons et al.
<input checked="" type="checkbox"/>	12	6571211	2003-05-27	Dwyer et al.
<input checked="" type="checkbox"/>	13	6760884	2004-07-06	Vertelney et al.

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	20010031128	2001-10-18	Manbeck			

Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

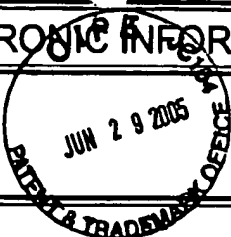
Signature

Examiner Name	Date
	7/20

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0



Title of Invention

Ebook/Active Reading: Text Annotations

Application Number: 09/455807

09/455807

Confirmation Number: 5593

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84618

Art Unit: 2174

Examiner: S Sax

Search string: (5437295 or 6018342 or 6647534).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	5437295	1994-09-13	Agulnick et al.			
<input checked="" type="checkbox"/>	2	6018342	2000-01-25	Bristor			
<input checked="" type="checkbox"/>	3	6647534	2003-11-11	Graham			

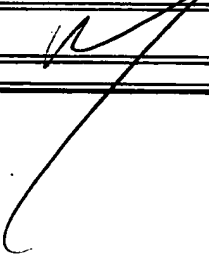
Remarks

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In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to

indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
	7/05

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

JUN 29 2005

Title of Invention

Ebook/Active Reading: Test Annotations

Application Number: 09/455807

09/455807

Confirmation Number: 5593

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84618

Art Unit: 2174

Examiner: S Sax

Search string: (5680636 or 5727129 or 5838914 or 5893132 or 6049812 or 6195694 or 6199082 or 6486895 or 6549220 or RE34476 or 5471568 or 5719595 or 5940080),pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	5680636	1997-10-21	Levine et al.			
<input checked="" type="checkbox"/>	2	5727129	1998-03-10	Barrett et al.			
<input checked="" type="checkbox"/>	3	5838914	1998-11-17	Carleton et al.			
<input checked="" type="checkbox"/>	4	5893132	1999-04-06	Huffman et al.			
<input checked="" type="checkbox"/>	5	6049812	2000-04-11	Bertram et al.			
<input checked="" type="checkbox"/>	6	6195694	2001-02-27	Chen et al.			
<input checked="" type="checkbox"/>	7	6199082	2001-03-06	Ferrel et al.			
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<input checked="" type="checkbox"/>	10	RE34476	1993-12-14	Norwood			
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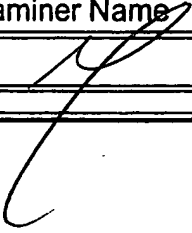
<input checked="" type="checkbox"/>	12	5719595	1998-02-17	Hoddie et al.
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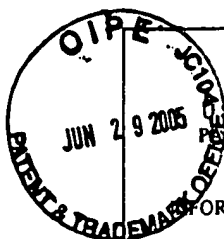
Remarks

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Signature

Examiner Name	Date
	7/05



PTO-1449 (Modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE STATEMENT
BY APPLICANTATTY. DOCKET NO.
003797.84618SERIAL NUMBER
09/455,807APPLICANT
John L. Beezer et al.FILING DATE
December 7, 1999GROUP ART UNIT
2174

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
<i>[initials]</i>	US 6,571,295 B1	05/27/2003	Sidana			
	US 6,279,014 B1	08/21/2001	Schilit et al.			
	US 6,230,171 B1	05/08/2001	Pacifici et al.			
<i>[initials]</i>	5,680,636	10/21/1997	Levine et al.			
	5,625,833	04/29/1997	Levine et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>[initials]</i>	Chin-Hwa Kuo et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pgs. 594-598.
<i>[initials]</i>	T. Hirotsu et al., "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories-Japan, IEEE, 1999, pgs. 356-359.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.

Serial No.
09/455,807

Filing Date: December 7, 1999

Group: 2174

[illegible]

Examiner Initial	Document No.	Date	Country	Class	Subclass	Translation	
						YES	NO

DATE CONSIDERED _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/455,807
		Filing Date	12/07/1999
		First Named Inventor	John L. Beezer et al.
		Group Art Unit	2174
		Examiner Name	S.P. Sax
Sheet 1	of 3	Attorney Docket Number	003797.84618

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US 5,239,488	08/24/1993	Morgan et al.	
		US 5,572,643	11/05/1996	Judson	
		US 5,832,022	05/20/1997	Warren et al.	
		US 5,729,687	03/17/1998	Rothrock et al.	
		US 6,580,621	05/06/2003	Barile	
		US 6,490,603 B1	12/03/2002	Keenan et al.	
		US 6,448,110 B1	09/03/2002	Lecton et al.	
		US 6,635,294 B1	03/18/2003	Ariedge, Jr. et al.	
		US 6,539,370 B1	03/25/2003	Chang et al.	
		US 6,301,590 B1	10/09/2001	Slow et al.	
		US 6,205,455 B1	03/20/2001	Umen et al.	
		US 6,393,422 B1	05/21/2002	Wone	
		US 6,052,614	04/16/2000	Gill et al.	
		US 6,122,649	09/19/2000	Kanerva et al.	
		US 6,271,840 B1	08/07/2001	Finseth et al.	
		US 5,877,757	03/02/1999	Baldwin et al.	
		US 5,978,818	11/02/1999	Lin	
		US 6,321,244 B1	11/20/2001	Liu et al.	
		US 6,405,221 B1	06/11/2002	Levine et al.	
		US 6,931,912	08/03/1999	Wu et al.	
		US 6,801,687	09/01/1998	Peterson et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 96/20908	07/11/1996	Mikitenko et al.		

Examiner Signature		Date Considered	7/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



Supplement to form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

Application Number	09/455,807
Filing Date	12/07/1999
First Named Inventor	John L. Beezer et al.
Group Art Unit	2174
Examiner Name	S.P. Sax
Attorney Docket Number	003797.84618

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JK		'Adobe Acrobat 3.0 Reader Online Guide', Adobe Systems, Inc., pages 1-110	
		Open eBook Forum, Open eBook™ Publication Structure 1.0, http://www.ebxwg.org/cebps/cebpa1.0/download/ceb1-cebpa.htm	
		KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	

Examiner
Signature

Date
Considered

7/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 2023



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/455,807
		Filing Date	December 7, 1999
		First Named Inventor	John L. Beezer, et al.
		Group Art Unit	2174
		Examiner Name	S.P. Sax
Sheet 1 of 1	Attorney Docket Number	003797.84618	

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
[Handwritten marks]		US-5,434,865	07/18/1995	Matheny, et al.	
		US- 5,745,116	04/28/1998	Pisutha-Ammond	
		US- 8,094,197	07/25/2000	Buxton, et al.	
		US- 6,181,344	01/30/2001	Tarpenning, et al.	
		US- 6,331,867	12/16/2001	Eberhard, et al.	
		US- 6,356,287	03/12/2002	Ruberry, et al.	
		US-			
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